

A Logical Study of Program Equivalence

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Why study the Equivalence of Programs ?

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- Representation independence of Data
 - ↪ Parametricity, Free theorems.
- Crucial in denotational semantics
 - ↪ Full-abstraction result.

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- Extensional behavior of programs
 - ↳ Observational equivalence.
- Depends on the language contexts are written in
 - ↳ discriminating power of contexts,
 - ↳ from purely functional languages to assembly code.

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with higher-order references: stored in heap via locations:	ref 2, ref ($\lambda x. M$) $(\text{ref } v, h) \rightarrow (\ell, h \cdot [\ell \mapsto v])$ (ℓ fresh in h)
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Contextual equivalence of M_1, M_2 :

$$\forall C. \forall h. (C[M_1] \Downarrow, h) \iff (C[M_2] \Downarrow, h)$$

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↪ Contexts can check how many time f is called.

↪ Callbacks are fully observable!

$C[\bullet] \stackrel{def}{=} \text{let } x = \text{ref } 0 \text{ in } \bullet (\lambda_.x := !x + 1); \text{if } !x > 1 \text{ then } \Omega \text{ else}()$
can discriminate them.

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↪ Arguments given to callbacks must be related.

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Disclosure of Locations (I/II)

$\lambda_. \text{let } x = \text{ref}0 \text{ in } 1$ is equivalent to $\lambda_. 1$

- ↪ The creation of the reference bounded to x is not observable by the context.
- ↪ It is private to the term!

Disclosure of Locations (II/II)

$\lambda f. \text{let } x = \text{ref0 in } fx; x := 1$

is **not** equivalent to

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- ↪ The reference bounded to x is disclosed to the context.
- ↪ It can look inside afterward to see what is stored.

$C[\bullet] \stackrel{\text{def}}{=} \text{let } z = \text{ref}(\text{ref } 0) \text{ in } \bullet (\lambda y. z := y); \text{if } !!z == 1 \text{ then } \Omega \text{ else}()$
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- ↪ Trace representation (Laird, ICALP'07)

- ↪ automata-based interpretation: Algorithmic Game Semantics.

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- ↪ Evolution of invariants (Ahmed, Dreyer, Neis & Birkedal).

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- Bisimulations

- ↪ Environmental Bisimulations (Pierce & Sumii, Koutavas, Wand)

- ↪ Open Bisimulations (Lassen, Levy, Stovring).

- ↪ Parametric Bisimulations (Hur, Dreyer & Vafeiadis).

The Ultimate Goal of this Thesis

- Formalize proofs of equivalence of programs:
 - ↪ in a Proof Assistant based on *Dependent Type Theory* (Coq),
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- Decide equivalence of programs:
 - ↪ undecidable in general, even without recursion and with bounded integers (Murawski & Tzevelekos)
 - ↪ but for fragments of the language
 - ↪ by generating such worlds,
 - ↪ need completeness of our approach.

Formalize Proofs of Equivalence of Programs (in MLTT)

Want to abstract over bureaucracy details:

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Generic extension of Martin-Löf Type Theory via presheaf translation

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Generic extension of Martin-Löf Type Theory via presheaf translation
- Could be useful to other problems:
 - ↪ Reasoning on binding and substitution (HOAS, Nominal Logic),
 - ↪ Kripke semantics over worlds.

Model-Check Equivalence of Programs

Soundness of Temporal Logical Relations

Let $\vdash M_1, M_2 : \tau$ two **non-recursive** terms:

- Generate **automatically** a formula $\boxed{\mathbb{E}[\tau](M_1, M_2)}$ in a logic with:
 - \rightsquigarrow (branching time) temporal modalities $\square, \mathbf{X}, \dots$,
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- **Model-checking:** taking \mathcal{A} and w , automatically check that $w \models_{\mathcal{A}} \mathbb{E}[\tau](M_1, M_2)$
 - \rightsquigarrow Using SMT-solvers \Rightarrow only possible with bounded heaps in w .

Decide Equivalence of Programs

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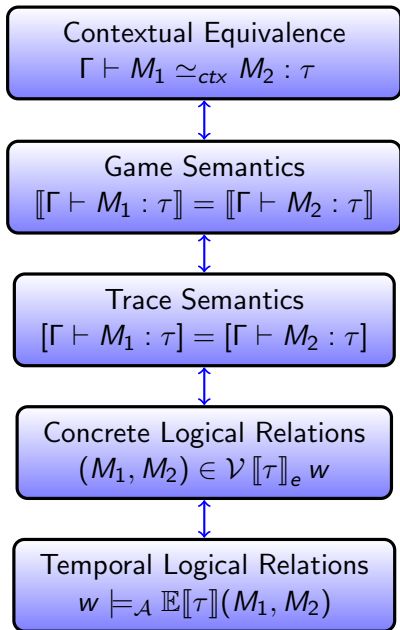
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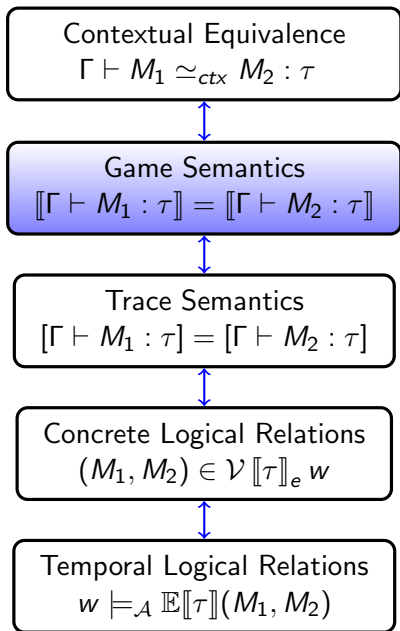
- Possible generalization of results from Algorithmic game semantics ?

- ↪ Bounded heaps hypothesis rather than type restriction.

Soundness and Completeness: A long road



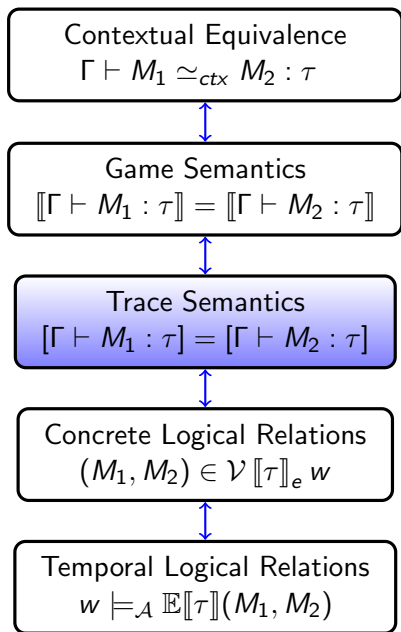
Soundness and Completeness: A long road



Nominal Game Semantics

- ↪ Murawski & Tzevelekos (LICS'11)
- ↪ *Fully-abstract Intentional* model of RefML,
- ↪ No need of extensional quotient,
- ↪ Strategies as *Nominal Sets over Locations*.

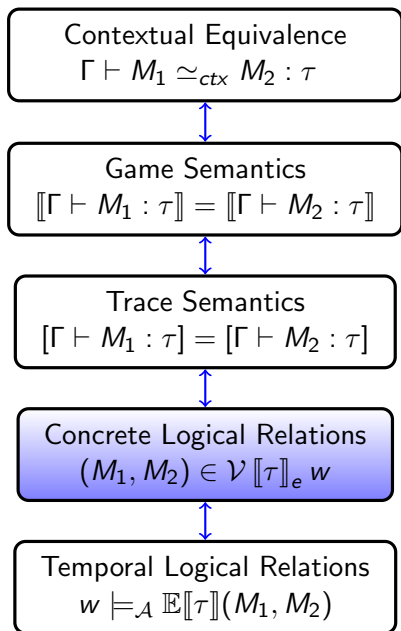
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Operational Nominal Game Semantics:

- \rightsquigarrow trace representation of interactions between a term and contexts,
- \rightsquigarrow generated by an *interactive reduction*,
- \rightsquigarrow a categorical structure on traces: *closed-Freyd category*,
- \rightsquigarrow a formal link with Nominal Game Semantics,
- \rightsquigarrow a treatment of visibility and ground references.

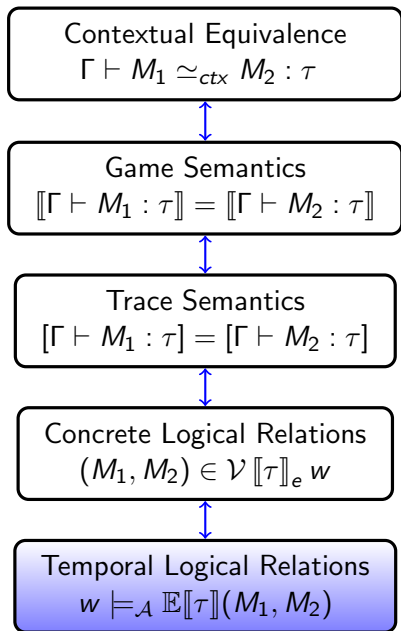
Soundness and Completeness: A long road



Concrete Logical Relations

- \rightsquigarrow avoid any quantification over complex elements in the definition,
- \rightsquigarrow soundness and completeness via Operational Nominal Game Semantics.

Soundness and Completeness: A long road



Temporal Logical Relations

- \rightsquigarrow *temporal modalities* to reason abstractly over worlds,
- \rightsquigarrow *symbolic execution* to reason abstractly over open ground variables.

Extending Type Theory with Forcing

- Guarded recursive types can be seen as Presheaves
 - ↪ Work on Topos of Trees by Birkedal et al.
- Forcing Models
 - ↪ Introduced by Cohen to build a model negating the Continuum Hypothesis
 - ↪ Restatement of Lawvere and Tierney in terms of topos of (pre)sheafs.
- Computational meaning of Forcing
 - ↪ Classical realizability by Krivine,
 - ↪ Syntactic Forcing translations of proofs by Miquel,
 - ↪ Computational interpretation of proofs of continuity, joint work with Coquand.

Extending Type Theory with Forcing

Joint work with Tabareau & Sozeau (LICS'11)

- Internalization of *Presheaf Models* in *Martin-Löf Type Theory*.

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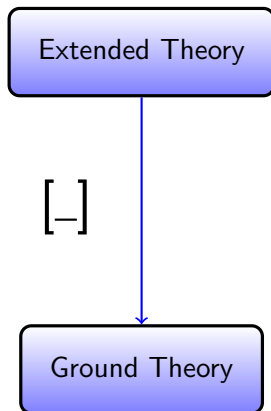
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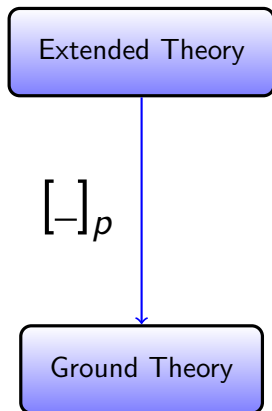
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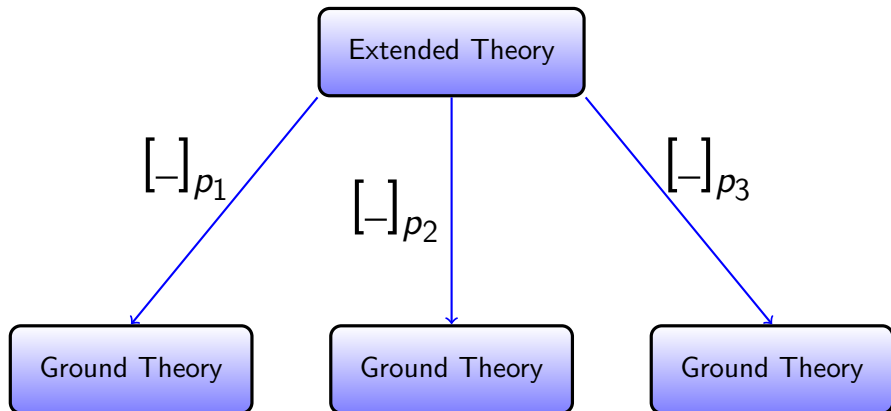
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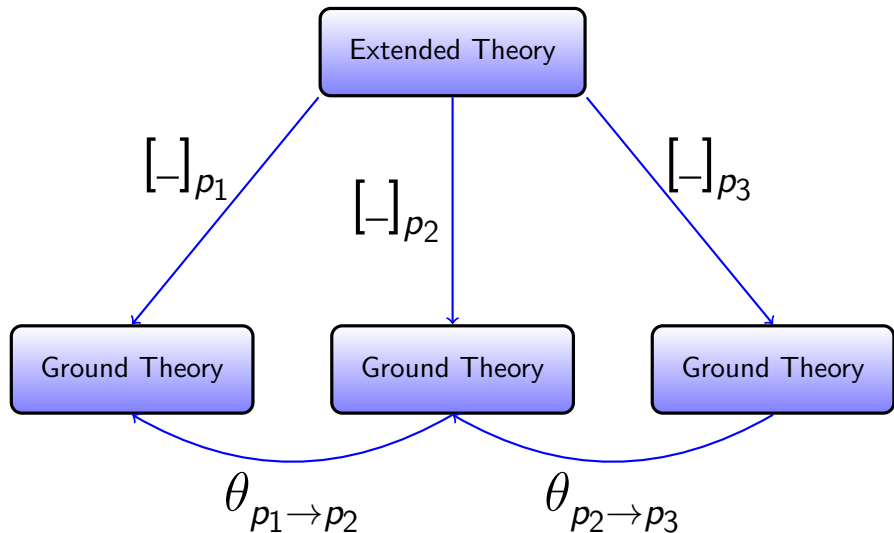
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- Implementation for Coq (with proof-irrelevance in conversion).







Presheaf Translation



Focus: Translation of the Dependent Product

- $\llbracket \Pi x : T. U \rrbracket_p^\sigma$ is defined as

$$\Pi q : \mathcal{P}_p. \Pi x : \llbracket T \rrbracket_q^\sigma. \llbracket U \rrbracket_q^{\sigma \cdot (x, T, q)}$$

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Forcing Layer

- $MLTT_{\mathcal{F}}$: extend $MLTT$ with new constants $\vdash_{\mathcal{F}} c_1 : T_1, \dots, c_n : T_n$
 $\rightsquigarrow c_k$ does not appear in T_j for $j \leq k$.
- $\mathcal{F}[-]_{\rho}$ extends the translation $[-]_{\rho}$ to $MLTT_{\mathcal{F}}$
 $\rightsquigarrow \mathcal{F}[c_i]_{\rho}$ is provided.

Check that $\rho : \mathcal{P}_{\rho} \vdash \mathcal{F}[c_i]_{\rho} : \mathcal{F}[[T_i]]_{\rho}$, then:

Theorem

If $\Gamma \vdash_{\mathcal{F}} M : T$, then $\mathcal{F}[\Gamma]^{\sigma} \vdash \mathcal{F}[M]_{\rho}^{\sigma} : \mathcal{F}[[T]]_{\rho}^{\sigma}$.

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- Relation with contractive maps by Birkedal & Mogelberg (LICS'13)

Implementation in Coq:

$$\begin{aligned} \mathcal{F}[\triangleright]_p^\sigma &\stackrel{\text{def}}{=} \lambda q : \text{Nat}_p. \lambda T : \llbracket \mathcal{U} \rrbracket_q^\sigma. \\ &\quad (\lambda r : \text{Nat}_q. \text{match } r \text{ with} \\ &\quad \quad | 0 \Rightarrow \text{Unit} \\ &\quad \quad | Sr' \Rightarrow (\pi_1 T) r' \\ &\quad , \lambda r : \text{Nat}_q. \lambda t : \text{Nat}_r. \lambda x : U_r. \text{match } t \text{ with} \\ &\quad \quad | 0 \Rightarrow \text{unit} \\ &\quad \quad | St' \Rightarrow (\pi_2 T) (\text{Pred } r) t' x) \end{aligned}$$

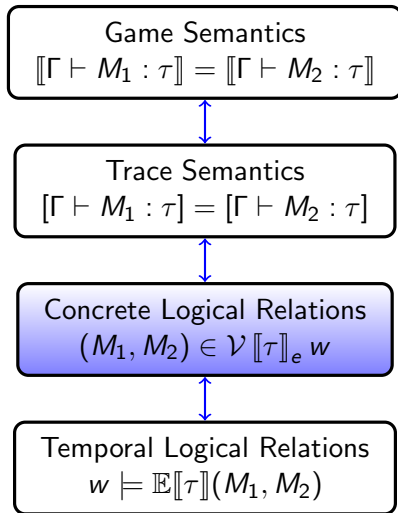
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- but we can build a term of type $\llbracket T \{M/x\} \rrbracket_{\rho} =_{\mathcal{U}} \llbracket T \rrbracket_{\rho} \{ \llbracket M \rrbracket_{\rho} / x \},$
 - \rightsquigarrow Use it to perform rewriting in the translation,
 - \rightsquigarrow Need explicit coercions of conversion.

Towards automatizable proofs of equivalence

Concrete Logical Relations



Logical Relations

Binary relations $\mathcal{E} \llbracket \tau \rrbracket, \mathcal{V} \llbracket \tau \rrbracket$ on closed terms and values

\rightsquigarrow inductively defined on types.

$$\mathcal{V} \llbracket \text{Int} \rrbracket \stackrel{\text{def}}{=} \{(n, n) \mid n \in \mathbb{Z}\}$$

$$\mathcal{V} \llbracket \tau \rightarrow \sigma \rrbracket \stackrel{\text{def}}{=} \{(\lambda x_1. M_1, \lambda x_2. M_2) \mid \forall (v_1, v_2) \in \mathcal{V} \llbracket \tau \rrbracket. \\ ((\lambda x_1. M_1)v_1, (\lambda x_2. M_2)v_2) \in \mathcal{E} \llbracket \sigma \rrbracket\}$$

$$\mathcal{E} \llbracket \tau \rrbracket \stackrel{\text{def}}{=} \{(M_1, M_2) \mid (M_1 \uparrow \wedge M_2 \uparrow) \\ \vee ((M_1 \mapsto^* v_1) \wedge (M_2 \mapsto^* v_2) \wedge (v_1, v_2) \in \mathcal{V} \llbracket \tau \rrbracket)\}$$

Extension to languages with references.

- ↪ Need *worlds*, i.e. invariants on heaps,
- ↪ which can evolve w.r.t. control flow of programs
- ↪ Parametrize the definition of logical relations with such worlds.

$$\mathcal{E} \llbracket \tau \rrbracket w \stackrel{\text{def}}{=} \left\{ (M_1, M_2) \mid \forall (h_1, h_2) : w. ((M_1, h_1) \uparrow \wedge (M_2, h_2) \uparrow) \right. \\ \left. \vee (((M_1, h_1) \mapsto^* (v_1, h'_1)) \wedge ((M_2, h_2) \mapsto^* (v_2, h'_2))) \right. \\ \left. \exists w' \sqsupseteq w. (h'_1, h'_2) : w' \wedge (v_1, v_2) \in \mathcal{V} \llbracket \tau \rrbracket w' \right\}$$

Toward *simple* proofs of equivalence

Starting Point : Kripke logical relations with STS of heap-invariants as world (Dreyer, Neis & Birkedal).

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Give rise to our definition of “Concrete Logical Relations”

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- Reason on **open** terms with free **functional** variables
 - ↪ Make the full control flow apparent in the operational reduction.

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- Soundness:
 - ↪ Introduce Kripke trace semantics,
 - ↪ Perform “surgery” on traces.
- Completeness:
 - ↪ No more biorthogonality,
 - ↪ Need “adequate” LTS: dual of Kripke Logical Relations,
 - ↪ Show that it always exists: exhaustive worlds.

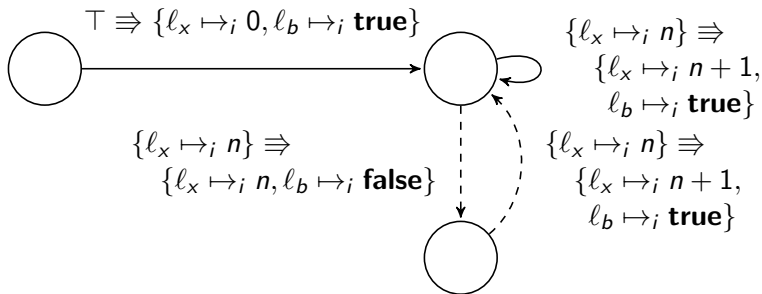
Callback with lock

$$\begin{aligned}M_1^{cbf} &= C [f(); x := !x + 1] \\M_2^{cbf} &= C [\text{let } n = !x \text{ in } f(); x := n + 1] \quad \text{where} \\C &= \text{let } b = \text{ref } \mathbf{true} \text{ in let } x = \text{ref } 0 \text{ in} \\&\langle \lambda f. \text{if } !b \text{ then } b := \mathbf{false}; \bullet; b := \mathbf{true} \text{ else } (), \lambda_. !x \rangle\end{aligned}$$

Callback with lock

$M_1^{cbl} = C [f(); x := !x + 1]$
 $M_2^{cbl} = C [\text{let } n = !x \text{ in } f(); x := n + 1]$ where

$C = \text{let } b = \text{ref } \mathbf{true} \text{ in let } x = \text{ref } 0 \text{ in}$
 $\langle \lambda f. \text{if } !b \text{ then } b := \mathbf{false}; \bullet; b := \mathbf{true} \text{ else } (), \lambda_. !x \rangle$



Automatically generated temporal formula

$\mathbb{E}[\llbracket \tau \rrbracket](M_1^{clb}, M_2^{cbl})$ is equal to

$$\begin{aligned} & \mathcal{H}N_0.(\mathcal{N}_1\ell_2.(\mathcal{N}_1\ell_1.(\mathcal{N}_2\ell_4.(\mathcal{N}_2\ell_3.(\mathbf{X}((\ell_2 \mapsto_1 \mathbf{0}) \wedge (\ell_1 \mapsto_1 \mathbf{true}) \wedge (\ell_4 \mapsto_2 \mathbf{0}) \wedge (\ell_3 \mapsto_2 \mathbf{true}) \wedge \\ & ((\Box(\mathcal{H}N_5.(\forall x_6, x_7, x_8, x_9.(((\ell_2 \mapsto_1 x_6) \wedge (\ell_1 \mapsto_1 x_7) \wedge (\ell_4 \mapsto_2 x_8) \wedge (\ell_3 \mapsto_2 x_9)) \Rightarrow \\ & ((\mathbf{X}(((x_7 = \mathbf{true}) \wedge (x_9 = \mathbf{true})) \Rightarrow ((\ell_2 \mapsto_1 x_6) \wedge (\ell_1 \mapsto_1 \mathbf{false}) \wedge (\ell_4 \mapsto_2 x_8) \wedge (\ell_3 \mapsto_2 \mathbf{false})) \wedge \\ & (\Box_{\text{pub}}(\forall x_{10}, x_{11}, x_{13}, x_{14}.(((\ell_2 \mapsto_1 x_{10}) \wedge (\ell_1 \mapsto_1 x_{11}) \wedge (\ell_4 \mapsto_2 x_{13}) \wedge (\ell_3 \mapsto_2 x_{14})) \Rightarrow \\ & (\mathbf{X}(\forall x_{12}, x_{15}.(((x_{12} = x_{10} + 1) \wedge (x_{15} = x_8 + 1)) \Rightarrow ((\ell_2 \mapsto_1 x_{12}) \wedge (\ell_1 \mapsto_1 \mathbf{true}) \wedge \\ & (\ell_4 \mapsto_2 x_{15}) \wedge (\ell_3 \mapsto_2 \mathbf{true}) \wedge (\mathbf{P}_{\text{pub}}(N_5)))))))))) \wedge (\mathbf{not}((x_7 = \mathbf{true}) \wedge (x_9 = \mathbf{false}))) \wedge \\ & (\mathbf{not}((x_7 = \mathbf{false}) \wedge (x_9 = \mathbf{true}))) \wedge (\mathbf{X}(((x_7 = \mathbf{false}) \wedge (x_9 = \mathbf{false})) \Rightarrow \\ & ((\ell_2 \mapsto_1 x_6) \wedge (\ell_1 \mapsto_1 x_7) \wedge (\ell_4 \mapsto_2 x_8) \wedge (\ell_3 \mapsto_2 x_9) \wedge (\mathbf{P}_{\text{pub}}(N_5)))))))))) \\ & \wedge (\Box(\mathcal{H}N_{16}.(\forall [x_{17}, x_{18}, x_{19}, x_{20}].(((\ell_2 \mapsto_1 x_{17}) \wedge (\ell_1 \mapsto_1 x_{18}) \wedge (\ell_4 \mapsto_2 x_{19}) \wedge (\ell_3 \mapsto_2 x_{20})) \Rightarrow \\ & (\mathbf{X}((\ell_2 \mapsto_1 x_{17}) \wedge (\ell_1 \mapsto_1 x_{18}) \wedge (\ell_4 \mapsto_2 x_{19}) \wedge (\ell_3 \mapsto_2 x_{20}) \wedge (x_{17} = x_{19}) \wedge (\mathbf{P}_{\text{pub}}(N_{16})))))))))) \\ & \wedge (\mathbf{P}_{\text{pub}}(N_0)))))) \end{aligned}$$

Translation to SMT-LIB

```
(assert (exists ((s21 Int)(h22 Heap)(h23 Heap)(l2 Int)(l1 Int)) (and (not (= l1 l2))
(exists ((l4 Int)(l3 Int)) (and (not (= l3 l4)) (exists ((s25 Int)(h26 Heap)(h27 Heap)(S28 LocSpan))
(and (TransPriv s21 s25 h22 h23 h26 h27 S28) (and (= (select h26 l2) 0) (= (select h26 l1) 0)
(= (select h27 l4) 0) (= (select h27 l3) 0) (and (forall ((s29 Int)(h30 Heap)(h31 Heap)(S32 LocSpan))
(=> (TransPrivT s25 s29 h26 h27 h30 h31 S32) (forall ((x6 Int)(x7 Int)(x8 Int)(x9 Int))
(=> (and (= (select h30 l2) x6) (= (select h30 l1) x7) (= (select h31 l4) x8) (= (select h31 l3) x9) )
(and (exists ((s33 Int)(h34 Heap)(h35 Heap)(S36 LocSpan)) (and (TransPriv s29 s33 h30 h31 h34 h35 S36)
(=> (and (= x7 0) (= x9 0) ) (and (= (select h34 l2) x6) (= (select h34 l1) 1) (= (select h35 l4) x8)
(= (select h35 l3) 1) (forall ((s37 Int)(h38 Heap)(h39 Heap)(S40 LocSpan))
(=> (TransPubT s33 s37 h34 h35 h38 h39 S40) (forall ((x10 Int)(x11 Int)(x13 Int)(x14 Int))
(=> (and (= (select h38 l2) x10) (= (select h38 l1) x11) (= (select h39 l4) x13) (= (select h39 l3) x14))
(exists ((s41 Int)(h42 Heap)(h43 Heap)(S44 LocSpan)) (and (TransPriv s37 s41 h38 h39 h42 h43 S44)
(forall ((x12 Int)(x15 Int)) (=> (and (= x12 (+ x10 1)) (= x15 (+ x8 1)) ) (and (= (select h42 l2) x12)
(= (select h42 l1) 0) (= (select h43 l4) x15) (= (select h43 l3) 0)
(TransPub s29 s41 h30 h31 h42 h43 S44))))))))))))))
(not (and (= x7 0) (= x9 1) )) (not (and (= x7 1) (= x9 0) ))
(exists ((s45 Int)(h46 Heap)(h47 Heap)(S48 LocSpan)) (and (TransPriv s29 s45 h30 h31 h46 h47 S48)
(=> (and (= x7 1) (= x9 1) ) (and (= (select h46 l2) x6) (= (select h46 l1) x7) (= (select h47 l4) x8)
(= (select h47 l3) x9) (TransPub s29 s45 h30 h31 h46 h47 S48))))))))))
(forall ((s49 Int)(h50 Heap)(h51 Heap)(S52 LocSpan))(=> (TransPrivT s25 s49 h26 h27 h50 h51 S52)
(forall ((x17 Int)(x18 Int)(x19 Int)(x20 Int)) (=> (and (= (select h50 l2) x17) (= (select h50 l1) x18)
(= (select h51 l4) x19) (= (select h51 l3) x20) ) (exists ((s53 Int)(h54 Heap)(h55 Heap)(S56 LocSpan))
(and (TransPriv s49 s53 h50 h51 h54 h55 S56) (and (= (select h54 l2) x17) (= (select h54 l1) x18)
(= (select h55 l4) x19) (= (select h55 l3) x20) (= x17 x19) (TransPub s49 s53 h50 h51 h54 h55 S56))))))))))
(TransPub s21 s25 h22 h23 h26 h27 S28)))))))))

(check-sat)
```

What's Next?

- Extend the presheaves translation with forcing conditions as categories
 - ↪ Useful for an implementation of a Nominal Dependent Type Theory ?
- Reason on recursive programs:
 - ↪ Combination with Higher-order Recursive Schemes (Ong et al.) ?
- Decidability results:
 - ↪ Semi-decidability: generate worlds,
 - ↪ Full decidability: reason on *adequate worlds*,
 - ↪ Decidability of the contextual equivalence of ν -calculus ?
- Polymorphic languages ?

A Unified Theory ?

Kripke Logical relations with worlds as
Bisimulations over
Traces generated by
Game Semantics as
Presheaves over

} LTS

- ↪ Open maps (Joyal, Nielsen & Winskel)
- ↪ Innocent Strategies as Presheaves (Hirchowitz & Pous)
- ↪ Transition systems over games (Levy & Staton, LICS'14)